Role of DUS test and Functional characteristics



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Contents

Purpose of DUS test
 what is DUS?

2. Role of DUS test

- definition of a variety
- How to observe characteristics?
- Examination of DUS

UPOV principles

Conditions for Protection

Article 5; 91 Act of the UPOV

[Criteria to be satisfied] The breeder's right shall be granted where the variety is

- New
- Distinct
- Uniform
- Stable

[Other conditions]denomination, fees

What is DUS?

D must be distinguishable from any other varieties







What is DUS?

U: must be uniform



What is DUS?

S: must be unchanged after repeated propagation





Next generation



Next generation

UPOV principles

Examination of the Application

Article 12; 91 Act of the UPOV

Any decision to grant a breeder's right shall require an examination for compliance with the conditions under Articles 5 to 9.



Purpose of DUS test: to access whether the variety comply with the DUS requirements

What should we do in the DUS test?

Purpose of DUS test

Characteristics as the Basis for Examination of DUS TG/1/3: 2.4

- 1. For any variety to be capable of protection it must first <u>be clearly defined</u>.
- 2. Only after a variety has been defined can it <u>be finally examined for fulfillment of</u> <u>the DUS criteria</u> required for protection.
- 3. UPOV convention have established that <u>a variety is defined by its characteristics</u> and those characteristics are the basis on which a variety can be examined for <u>DUS.</u>

purpose of DUS test

- 1. Definition of the variety by the expression of characteristics
- 2. Examination of the DUS

DUS test 1. Definition of a variety

by the expression of characteristics

Definition of a variety by the expression of characteristics



To clarify the expression of characteristics , then make a variety description of the variety

example; variety description of tomato

TGs for tomato

Variety description



States of Char **Characteristics** Expression No. Seedling: anthocyanin coloration of 9 1 hypocotyl Plant: growth type 2 1 Only determinate growth type varieties: Plant: number of inflorescences on main 5 3 stem (side shoots to be removed) Stem: anthocyanin coloration of upper 3 4 third Only indeterminate growth type varieties: Stem: length of internode (between 1st and 4th inflorescence) Leaf: attitude (in middle third of plant) 5 6 Leaf: length 7 5 8

The variety description \rightarrow defined by the expression of characteristics

total 60 chars.

TGs for tomato

	English	Note	Example varieties
1	Seedling: anthocyanin coloration of hypocotyl		
QL	absent	1	PT18, XH5
VG	present	9	CHX1, VR2





TGs for tomato

	English	Note	Example varieties
4	Stem: anthocyanin coloration		
	absent or very weak	1	PT18, XH5
	weak	3	Lai số 2
QN VG	medium	5	
	strong	7	CHX1
	very strong	9	





TGs for tomato

	English	Note	Example varieties
6	Leaf: attitude		
QN	semi-erect	3	
VG/	horizontal	5	PT18, XH5
1013	semi drooping	7	Hồng Lan



Variety description



total 65 chars.

Char No.	Characteristics	States of Expression
1	Coleoptile anthocyanin coloration	9
2	Basal leaf: Sheath color	3
3	Leaf: íntensity of green color	5
4	Leaf: anthocyanin coloration	9
5	Leaf: distribution of anthocyanin coloration	2
6	Leaf sheath: anthocyanin coloration	9
7	Leaf sheath: intensity of anthocyanin coloration	5
8	Leaf blade: pupbescence of surface	5
••		••

The variety description \rightarrow defined by the expression of characteristics

TGs for Rice

	English	Stage	Note	Example varieties
1	Coleoptile anthocyanin coloration	10		
QN	absent or very weak		1	Khang dân 18
VS	weak		3	Trân châu lùn
	Strong		5	



1



TGs for Rice

	English	Stage	Note	Example varieties
2	Basal leaf: Sheath color	40		
PQ	green		1	Khang dân 18 Bắc thơm số 7
VS	Green with purple		2	
	light purple		3	Trân châu lùn
	Purple		4	Thảo dược Vĩnh Hòa 1



1



TGs for Rice

	English	Stage	Note	Example varieties
3	Leaf: intensity of green color	40		
QN	light		3	ÐTL2
VG	medium		5	Bắc thơm số 7
	Dark		7	Q5





5



TGs for Rice

	English	Stage	Note	Example varieties
4	Leaf: íntensity of green color	40		
QL	absent		1	Khang dân, Bắc thơm số 7
VG	present		9	Trân châu lùn





1

TGs for Rice

	English	Stage	Note	Example varieties
5	Leaf: distribution of anthocyanin coloration	40		
PQ	on tips only		1	
VG	on margins only		2	Trân châu lùn
	in blotches only		3	
	even		4	





2

Definition of a variety TGs for Maize

Variety description



total 41 chars.

Char No.	Characteristics	States of Expression
1	Foliage: Intensity of green color	2
2	First leaf: Shape of tip	3
3	Foliage: Intensity of green color	2
4	Leaf: Undulation of margin of blade	2
5	Leaf: Angle between blade and stem (on leaf just above upper ear	3
6	Leaf: Attitude of blade (on leaf just above upper ear)	3
7	Stem: Degree of zig-zag	2
8	Tassel: Time of anthesis (on middle third of main axis, 50% of plants)	5
9	•••••	••

The variety description \rightarrow defined by the expression of characteristics

	English	Stage	Note	Example varieties
1	First leaf: Anthocyanin coloration of sheath	12-14		
QN	absent or very weak		1	Sugar 75
VG	weak		3	
	medium		5	
	strong		7	
	very strong		9	Fancy purple 212



	English	Stage	Note	Example varieties
2	First leaf: Shape of tip	12-14		
PQ	pointed		1	
VS	pointed to rounded		2	
	rounded		3	
	rounded to spatulate		4	
	spatulate		5	
	$ \begin{bmatrix} 1 \\ 1 \end{bmatrix} $ $ \begin{bmatrix} 2 \end{bmatrix} $ $ \begin{bmatrix} 3 \end{bmatrix} $			\int_{5}

	English	Stage	Note	Example varieties
3	Foliage: íntensity of green color	51-59		
QN	light		3	
VG	medium		5	
	Dark		7	

	English	Stage	Note	Example varieties
4	Leaf: undulation of margin of blade	51-59		
QN	absent or very weak		1	
VG	intermediate		2	
	strong		3	







TGs for chrysanthemum

Variety description



Total 89 chars.

Char No.	Characteristics	States of Expression
1	Plant: height	5
2	Plant: type	1
3	Only bushy varieties: Plant: growth habit	2
4	Only bushy varieties: Plant: density of branching	5
5	Stem: color	4
6	Stipule: size	5
7	Petiole: attitude	5
8		

The variety description \rightarrow defined by the expression of characteristics

Definition of a variety TGs for Cucumber

Variety description



Total 51 chars.

Char No.	Characteristics	States of Expression
1	Cotyledon: bitterness	9
2	Plant: growth type	1
3	Plant: total length of first 15 internodes	5
4	Leaf blade: attitude	5
5	Leaf blade: length	5
6	Leaf blade: ratio length of terminal lobe/length of blade	5
7	Leaf blade: shape of apex of terminal lobe	1
8	•••••	••

The variety description \rightarrow defined by the expression of characteristics

DUS test

2. Examination of the DUS



- A variety may be considered to be clearly distinguishable if the difference in characteristics is:
 - (a) consistent
 - (b) clear differences



Uniformity

- The uniformity requirement for a variety will be different for
 - ✓ truly self-pollinated varieties
 - ✓ mainly self-pollinated varieties
 - \checkmark inbred lines of hybrid varieties
 - ✓ vegetative propagated varieties and
 - ✓ cross-pollinated varieties
 - \checkmark mainly cross-pollinated varieties
 - \checkmark synthetic varieties
 - ✓ hybrid varieties



- In practice, test of stability is not performed.
 - ✓ when a variety has shown to be uniform, it can be considered to be stable.
 - ✓ where appropriate, stability may be tested by growing a further generation

Characteristics

Type of Expression of characteristics
 Method of Observation / Type of Record

Type of Expression



Characteristics

Type of Expression



Characteristics

Type of Expression



Pseudo Qualitative

Characteristics


- ✓ are expressed in discontinuous states
- \checkmark As a rule, the characteristics are not influenced by environment.

Panicle: awns



Absent 1

Present 9

Stem: anthocyanin coloration of nodes



Absent 1



Present 9

Type of Expression: QL

36.	VG	Ear: type of grain	Example varieties	Note
QL		flint		1
		flint-like		2
		intermediate		3
		dent-like		4
		sweet		5
		рор		6
		waxy		7
		flour		8



2 3 4 5

1

6







✓ are measurable on a one-dimensional scale and show continuous variation

✓ length, height, width, thickness, weight, Intensity...

Leaf sheath: intensity of anthocyanin coloration



1

7

Type of Expression: QN

Ear: length of peduncle



Stem: anthocyanin coloration of brace roots



Type of Expression: QN

"1-9" scale

notes	states	
1	very small (or: absent or very small)	
2	very small to small	
3	small	
4	small to medium	
5	medium	
6	medium to large	
7	large	
8	large to very large	
9	very large	

notes	states		
1	very weak (or: absent or very weak)		
2	very weak to weak		
3	weak		
4	weak to medium		
5	medium		
6	medium to strong		
7	strong		
8	strong to very strong		
9	very strong		

Type of Expression: QN

Limited range

"1-5" scale

Stem: attitude

note	states
1	erect
3	semi-erect
5	prostrate

"1-4" scale

leaf blade: angle of apex

note	states
1	acute
2	Right-angled
3	moderately obtuse
4	strongly obtuse

"1-3" scale

Flower: fragrance

note	states	
1	Absent or very weak	
2	weak	
3	strong	

Type of Expression: PQ

✓ range of expression is at least partly continuous, but varies in more than one dimension



Fruit: shape in longitudinal section

Type of Expression: PQ

TG/44 Tomato

28. (*) (+)	VG MS	Fruit: shape in longitudinal section	Example Varieties	Note
		oblate	Liebesapfel	1
PQ	(b)	circular	Cherry Sweet	2
<u> </u>	ر	cordate square	Daniel Delphin, Yolo Wonder	3 4
		rectangular	Clovis, Nocera rosso	5
		trapezoidal	Delta, Marconi	6

Types of expression

Decision making chart



Method of observation & Type of record

Method of observation:

M (measurement) : using a ruler/weighing scales, dates, counts, etc.

V (visual) : visual observation includes smell, taste and touch

Type of record:

G (Group) : single record for a variety, or a group of plants or parts of plants

S (Single) : records for a number of single plants or parts of plants

Method of observation + Type of record

- VG: Visual assessment by a single observation of a group of plants or parts of plants.
- MG: Measurement by a single observation of a group of plants or parts of plants.





16.	VG	Leaf sheath: intensity of anthocyanin coloration		
QN		very weak	Khang dân 18	1
		weak	BM9962	3
		medium	Trân trâu lùn	5
		strong	Thảo dược vĩnh hòa 1	7

8. MG Tassel: time of anthesis

PQ	(c)	very early	Jazon, White Mirabell	1
		very early to early	Goldene Königin, Yellow Pear	2
		early	Sungold	3
		early to medium	Aichi First	4
		medium	Daniela, Ferline,	5
			Montfavet H 63.5	
		medium to late	Ozyrys	6
		late	Green Grape, Green Zebra	7
		late to very late	AM1513	8
		very late		9

- **MS**: Measurement of a number of individual plants or parts of plants.
- VS: Visual assessment by observation of a number of individual plants or parts of plants.



26.	MS	Non-prostrate verieties only: Stem length (excuding panicle)		
QN		very short		1
		short	Koshihikari kazusa 2 gou	3
		medium	Bắc thơm số 7	5
		long	BM9962	7
		very long		9

Type of assessment in Tomato TGs

	QL	PQ	QN	Total
VS	0	0	0	0
VG	25	3	19	47
VG/MS	0	1	11	12
MS	0	0	1	1
MG	0	0	1	1
	25	4	32	61

Type char Excel

Examination

Workflow of the DUS test



DUS test



Similar varieties: Varieties very close to the candidate varieties in morphological, physiological characteristics

Example varieties: Varieties to clarify the states of expression of a characteristic, then to assist with preparation of the description

Distinctness examination

Requirement:

Article 7; 91 Act of the UPOV

a variety must be clearly distinguishable from any other variety whose existence is a matter of common knowledge.

clearly distinguishable => 1. Consistent 2. Clear

Clearly Distinguishable

from any other varieties ?

"it is necessary to examine distinctness in relation to all varieties of common knowledge."



VS





Compare Candidate variety VS Existing varieties

Where a candidate variety is sufficiently different from particular group of varieties,



No need to compare the candidate variety with different group of varieties



No need to compare candidate variety with different group of varieties

How to select different group of varieties?

Selecting the similar varieties





Candidate varieties



Grouping characteristics

Similar varieties

Not be necessary for comparing with all varieties, where a candidate variety is different from a particular group of varieties



Compare Candidate variety VS Similar varieties



the candidate variety is considered to be distinguished to all existing varieties

Grouping characteristics

Grouping characteristics: tomato Plant: growth type (characteristic 2)

Leaf: type of blade (characteristic 10)

Peduncle: abscission layer (characteristic 19)

Fruit: green shoulder (before maturity) (characteristic 21)

Fruit: size (characteristic 26)

Fruit: shape in longitudinal section (characteristic 28)

Fruit: number of locules (characteristic 36)

Fruit: color (at maturity) (characteristic 37)

Resistance to Meloidogyne incognita (Mi) (characteristic 46)

Resistance to Verticillium sp. (Va and Vd) – Race 0 (characteristic 47)

<u>Resistance to Fusarium oxysporum f. sp. lycopersici (Fol) – Race 0 (ex 1)</u> (characteristic 48.1)

<u>Resistance to Fusarium oxysporum f. sp. lycopersici (Fol) – Race 1 (ex 2)</u> (characteristic 48.2)

<u>Resistance to Tomato mosaic virus (ToMV) – Strain 0 (characteristic 51.1)</u> Resistance to Tomato spotted wilt virus (TSWV) - Race 0 (characteristic 58)

Consistent difference

1.Consistent difference:

To ensure sufficient consistent is to examine the characteristics in at two independent growing cycles.



Each time, variety B is taller than variety A

Clear difference

2.Clear differences:

Determining whether a difference between two varieties is clear depends on the **type of expression of the characteristics**.

- **QL:** Qualitative
- **QN:** Quantitative
- **PQ: Pseudo-Qualitative**

Clear difference



TG/1/3: 5.3.3.2.1

Requires:

the difference between two varieties may be considered clear if one or more characteristics have expressions that fall into two different states in the Test Guidelines

Different "states" can be considered to be Distinct

Clear difference







pinnate - 1

bipinnate 2

Different "states" can be considered to be Distinct

Clear difference



TG/1/3: 5.3.3.2.2

For QN, a difference of two Notes often represents a clear difference, but that is not an absolute standard for assessment of distinctness. Depending on factors, such as the testing place, the year, environmental variation or range of expression in the variety collection, a clear difference may be more or less than two Notes. Guidance is provided in document TGP/9, 'Examining Distinctness'."



Clear difference



Clear difference



Note 3:4; may not be a clear difference

Clear difference

"a difference of two Notes often represents a clear difference"

"Two Note" rule


Distinctness

Clear difference





"Two Notes" rule means at least One note difference

Purpose of DUS test

Characteristics as the Basis for Examination of DUS TG/1/3: 2.4

char No.	8	11	15	16	17	24	27	41
characterisitics	Tassel: time of anthesis	Tassel: anthocyanin coloration of anthers	Ear: time of sil emergence	k Ear: anthocyanin coloration of silks	Stem: anthocyanin coloration of brace roots	Plant: length	Peduncle: length	Ear: anthocyanin coloration of glumes of cob
Candidate variety	3	5	3	5	3	7	1	9
variety 1	3	5	3	5	3	5	1	9
variety 2	3	3	3	5	3	7	1	9
variety 3	3	5	5	5	3	7	1	9
variety 4	3	5	3	5	7	7	5	9
variety 5	3	5	3	5	3	3	1	9

Distinctness

Clear difference



TG/1/3: 5.3.3.2.3

 A different state in the Test Guidelines may not be sufficient to establish distinctness (see also section 5.5.2.3).
 However, in certain circumstances, varieties described by the same state of expression may be clearly distinguishable.

✓ It is difficult to define a general rule on the difference in Notes to establish Distinctness.

✓ should be assessed on a Case by case basis

Examining Distinctness



Distinctness

Clear difference

	Characteristics	Assessment
QL	 discontinuous states absent / present 	one or more characteristics have expressions that fall into two different states
QN	- continuous states - length, width	A difference of two notes represents a clear difference
PQ	 more than one dimension shape, color 	A different state in the TGs may not be sufficient

Uniformity examination

Requirement:

Article 8; 91 Act of the UPOV

- A variety must be sufficiently uniform in its relevant characteristics, subject to the variation that may be expected from the particular features of its propagation
 - Where all the plants of a variety are very similar, and in particular for vegetative propagate and self-pollinated varieties, Uniformity is assessed by the number of off-types

How many off-types should we accept?

Acceptable number of off-types

features of propagation	Genetic variation	Acceptable Number of off- types		
 Vegetative propagated 	Low	Low		
 Self-pollinated 				
 Hybrid (single-cross) 				
 Cross-pollinated Hybrid (Multiple-cross) 	High	High		

Where all the plants of a variety are very similar, and in particular for vegetative propagate and self-pollinated varieties, <u>80</u> Uniformity is assessed by the number of off-types

How many off-types should we accept?

According to the size of the sample examined, statistical tables give the maximum number of off-types tolerated in that given samples

e.g.: population standard = 1% and acceptance probability = 95%

Sample size	Number of off-types allowed
1-5	0
6-35	1
36-82	2
83-137	3
138-198	4
199-262	5

How many off-types should we accept?

Population standard

(Acceptable Number of off-types)

 Percentage of off types to be accepted if all individuals of the variety could be examined

Acceptance probability

 Probability of correctly accepting that a variety is uniform

PS, **AP** in each **UPOV TGs**

1	95	8	1	Alstromeria, Hydrangea, Clematis, Rose of Sharon, Canna, Hebe
1	95	9	1	Phalaenopsis, Oncidium
1	95	10	1	Bougainvillea, Camellia, Pineapple, Dendrobium, TeaTree, Brachyscome, Poinsetia
1	95	12	1	Dahlia
1	95	15	1	ZonalPelargonium, Banana, Lobelia, Osteospermum, Sutera
1	95	20	1	Yam, Peppermint, Pumpkin, Tomato, Lily, Melon, Gladiolus, Chrysanthemum
1	95	24	1	sugarcane
1	95	25	1	tulip
1	95	40	2	bitter gourd, asparagus, Brussels sprout,cucumber, Petunia, Antirrhinum,Onion
1	95	50	2	Amaranth, Sweet potato, Sesame
1	95	60	2	cornsalad, chinese Cabbage, broccoli, Calabres sprouting, chimes Chive, Shiitake
1	95	90	3	Oyster Mushroom
1	95	100	3	Chick Pea, Lentil
2	95	20	2	Elatior Begonia, Kalanchoe,Chili,Watermelon,
2	95	200	7	Beetroot, Carrot,Leek, Radish, Black Radish
1	95	20	1 (Tomato
5	95	40	4	Artichoke, Cardoon
Hybrids:2	Hybrids:95	inbred:200,3	Hybrids:5	
inbred:2	inbred:95	0	inbred:7,2	Parsnip
Hybrids:2	Hybrids:95	Hybrids:100	Hybrids:5	Spinach
Inbred:3	inbred:95	Inbred:100	Inbred:6	Spinach,
inbred:1	(s)cross:9	inbred:60	inbred:2	
(s)cross:3	5	(s)cross:60	(s) cross:4	Cauliflower







Off-type

A plant is to be considered an off-type if it can be *clearly distinguished from the variety* in the expression of any characteristic of the whole or part of the plant that is used in the testing of distinctness, taking into consideration the particular features of its propagation.

clearly distinguished from the variety = same criteria as for Distinctness

Stability examination

Stability

Requirement:

Article 9; 91 Act of the UPOV

- Relevant characteristics must remain unchanged after repeated propagation
 - In practice, it is not usual to perform tests of stability that produce results as certain as those of the testing of distinctness and uniformity.
 - However, for many types of variety, when a variety has been shown to be uniform, it can also be considered to be stable
 - Where appropriate, or in cases of doubt, stability may be tested, either by growing a further generation, or by testing a new seed or plant stock to ensure that it exhibits the same characteristics as those shown by the previous material supplied.

Making a Test Report



Thank you for your attention!